



# Regulatory Compliance Models

## Adapted from U.S./North American Standards

For the Department of Health (DOH) Philippines — International Health Care Consultant Model

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## MODULE 1: Facility Licensing & Application Framework

**Reference Models:** National Administrative Code (NAC), National Revised Statutes (NRS), and the American standards adapted from Centers for Medicare Services (CMS) Conditions of Participation, Medicaid and Joint Commission standards.

### Includes:

- Standardized Department of Health (DOH) License Application Form (model template)
  - Facility Operational Readiness Checklist
  - Architectural and Engineering Submission Requirements
  - Online Licensing Portal Application Pathway modeled after Central Locality Integrated Care Services (CLICS) concepts
  - Conditional and Provisional Licensing Framework
  - Standard Processing, Issuance, Renewal, and Expiration Timelines
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## MODULE 2: Background Check & Fingerprint Protocol

**Reference Models:** Nevada Revised Statutes (NRS) §§449.123–449.125 (adapted conceptually for policy development).

### Includes:

- Owner and Operator Background Check Policy
- Fingerprint Waiver Criteria
- Criminal History Review Process (adaptable to applicable Philippine legal requirements)
- Three-Step Processing Timeline
- Data Privacy and Consent Policy
- Department of Health (DOH) Compliance Clearance Certificate Model

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## **MODULE 3: Staffing Requirements & Credential Verification**

**Reference Models:** Centers for Medicare Services (CMS) Workforce Standards and selected U.S. State Board of Nursing practices.

**Includes:**

- Minimum Staffing Ratios by Facility Type
- Registered Nurse (RN), Licensed Practical/Vocational Nurse (LPN/LVN), and Certified Nursing Assistant (CNA) Credential Verification Framework (to be localized through the Professional Regulation Commission (PRC), Department of Health (DOH), or other applicable Philippine authorities)
- Standardized Job Descriptions Based on International Best Practices
- Continuing Education Standards
- Digital Employee Personnel File Compliance Checklist
- Temporary Staffing and Emergency Waiver Policy

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## **MODULE 4: Infection Control & Patient Safety Standards**

**Reference Models:** Centers for Disease Control and Prevention (CDC), Occupational Safety and Health Administration (OSHA), and Joint Commission.

**Includes:**

- Infection Prevention and Control Policy Template
- Personal Protective Equipment (PPE) Standards
- Medical Waste Management Standard Operating Procedures (SOPs)
- Infectious Disease Response Plan
- Facility Outbreak Management Framework
- Annual Infection Control Risk Assessment Tool

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## **MODULE 5: Quality Assurance & Performance Improvement (QAPI)**

**Reference Model:** CMS Quality Assurance and Performance Improvement (QAPI) Framework.

**Includes:**

- Monthly Quality Assurance Committee Guidelines
  - Incident Reporting Flowchart
  - Root Cause Analysis (RCA) Form
  - Patient and Resident Satisfaction Survey Toolkit
  - Continuous Quality Monitoring Dashboard
  - Internal Quality Audit Tools
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## **MODULE 6: Patient Privacy & Health Information Protection**

**Reference Models:** U.S. HIPAA principles and the Philippine Data Privacy Act of 2012.

**Includes:**

- Notice of Privacy Practices Template
  - Patient Consent Forms
  - Third-Party Data Use Agreement
  - Privacy Breach Response Standard Operating Procedure
  - Employee Privacy Compliance Training Checklist
  - Crosswalk Comparing HIPAA Concepts and the Philippine Data Privacy Act
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## **MODULE 7: Facility Operations Manual**

**Includes:**

- Comprehensive Standard Operating Procedures (SOPs)
  - Emergency Preparedness Plan adapted from U.S. Centers for Medicare Services (CMS) and Federal Emergency Management Agency (FEMA) concepts
  - Daily Facility Safety Checklist
  - Utility Failure Response Procedures
  - Fire Prevention and Evacuation Protocols
  - Medical Equipment Maintenance Log
  - Staff Roles and Responsibilities During Emergencies
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## **MODULE 8: Department of Health Survey & Accreditation Preparation**

### **Includes:**

- Survey Preparation Packet
  - Mock Survey Toolkit modeled on U.S. Center for Medicare Services (CMS) survey methodologies
  - Staff Interview Guide
  - Survey Role-Play Scenarios
  - Plan of Correction (POC) Template
  - Post-Survey Compliance Calendar
  - Accreditation and Licensing Compliance Crosswalk Matrix
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